

08-17-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as "contents" therein are being deposited with the United States Postal Service on August 15, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898676656**US, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: SUN1P746/P6332

First Named Inventor: Daniel Price

S. Noriega
Sunshine Noriega

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Daniel Price and Roger Faulkner

For: **METHODS AND APPARATUS FOR MANAGING DEFUNCT PROCESSES**

Assigned to: Sun Microsystems, Inc.

Application Elements:

- ☒ 24 Pages of Specification, Claims and Abstract
- ☒ 8 Sheets of formal Drawings
- ☒ 4 Pages Combined Declaration and Power of Attorney (2 sets)

Accompanying Application Parts:

- ☐ Do not publish this application. Nonpublication Request is attached.
- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	24	MINUS	20	= 4	x 18	72.00
INDEP.	5	MINUS	3	= 2	x 80	160.00
[] First presentation of multiple dependent claim					\$270	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$710	710.00
TOTAL						942.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ Check No. 4593 in the amount of \$982.00 is enclosed.

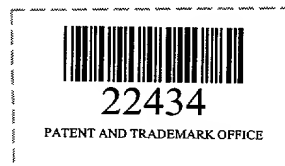
☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. SUN1P746).

General Authorization for Petition for Extension of Time (37 CFR §1.136)


☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SUN1P746).

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Date: August 15, 2001


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